James I Ausman MD PhD

Case Report

C1 anterior arch preservation in transnasal odontoidectomy using three-dimensional endoscope: A case report

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Abstract

Background: The transoral ventral corridor is the most common approach used to reach the craniovertebral junction (CVJ). Over the last decade, many case reports have demonstrated the transnasal corridor to the odontoid peg represents a practicable route to remove the tip of the odontoid process. The biomechanical consequences of the traditional odontoidectomy led to the necessity of a cervical spine stabilization. Preserving the inferior portion of the C1 anterior arch should prevent instability.

Case Description: This is the first report in which the technique to remove the tip of the odontoid while preserving the C1 anterior arch is described by means of a three-dimensional (3D) endoscope. A 53-year-old man underwent a transnasal 3D endoscopic approach because of a complex CVJ malformation. The upper-medial portion of the C1 anterior arch was removed preserving its continuity, and the odontoidectomy was performed. After surgery, a dynamic X-ray scan showed no difference in CVJ motility in comparison with the preoperative one.

Conclusions: The stereoscopic perception augmented the precision of the surgical gesture in the deep field. The importance of a 3D view relates to the depth of field, which a two-dimensional endoscopy cannot provide. This affects the preservation of the C1 anterior arch because of the presence of critical structures that are exposed to potential damage if not displayed.

Key Words: C1 anterior arch preservation, craniovertebral junction stability, three-dimensional endoscope, transnasal odontoidectomy



BACKGROUND

The transoral ventral microsurgical corridor is the most common^[3,8,9,19,34] approach used to reach the craniovertebral junction (CVJ) anomalies. Nevertheless, over the last decade, many cadaver studies and case reports^[2,6,7,17,18,30] demonstrated the transnasal corridor to the odontoid peg is a practicable route. In 2005, Kassam reported a technical case describing the feasibility of an odontoidectomy fully achieved through an endoscopic transnasal approach; Cappabianca described the same approach on a cadaver model in 2007.

This breakthrough actually depends on many significant

technological improvements, such as the development of dedicated instruments, newly developed tools for a better visualization, and the even closer partnership

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between neurosurgeons and ENT surgeons. Recently, the advantages of an ultrasonic bone curette to remove the bone during extended endoscopic approaches have been described.^[4,39] In addition, endoscopic neurosurgery could now benefit from the innovation given by three-dimensional (3D) view,^[33] in particular for midline skull base surgery. Moreover, several case reports and case series showed and highlighted promising and hopeful results in endoscopic transnasal odontoidectomy.

Thus, an anterior transnasal endoscopic decompression of lower brainstem and spinal cord may be achieved by removing the tip of the odontoid process. The instability given to the disruption of ventral atlantoaxial-occipital articular connections is still an issue, and patients require posterior fusion. Preserving the inferior portion of the C1 anterior arch should prevent instability^[13,15] and avoid a further posterior fixing procedure. The procedure to reach the odontoid while preserving the C1 arch could be difficult because of the lack of stereoscopic view, which is more important to reach deep-seated structures. This is the first report in which the technique to preserve the C1 anterior arch is described by means of a 3D endoscope.

CASE DESCRIPTION

A 53-year-old man with a long-lasting history of objective vertigo and neck pain accessed to emergency care because of a severe headache, disabling vertiginous crisis with falls, increasing neck pain also in lateral head movements and decline in health-related quality of life. Clinical examination showed hyperreflexia in lower limbs, diffuse burning paresthesia of the four limbs, bilateral Babinski sign, and downbeat nystagmus. Magnetic resonance imaging (MRI) and computed tomography (CT) scans showed a complex CVJ malformation with the basilar impression and radiological signs of myelopathy at the C2 level [Figure 1]. Furthermore, a dynamic cervical spine X-ray proved that there was no instability in flexion and extension movements of the CVJ [Figure 2].



Figure 1: Preoperative magnetic resonance imaging and computed tomography showing a complex craniovertebral junction malformation with basilar impression and radiological signs of myelopathy at C2 level

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The patient underwent a transnasal image-guided fully 3D endoscopic approach [Figure 3]. Intraoperative neurophysiological monitoring was used: Somatosensory evoked potentials of the four limbs and motor evoked potentials. The technique to reach the CVJ has been described elsewhere.^[17,18]

The lowest part of the clivus and the upper-medial portion of the Cl anterior arch were removed. After subperiosteal preparation of the Cl anterior arch, every effort was made to preserve the anterior arch integrity, drilling only its anterior–superior portion in the midline but keeping its continuity. At this stage, the partial drilling of the uppermost part of the odontoid peg was safely performed. After image guidance confirmation of the odontoid, a 3 mm coarse diamond burr was used to enter its anterior cortex. An ultrasonic bone curette was used to remove the tip of the odontoid, the base and the interface between the posterior cortex of the dens and the soft tissues covering the spinal dura. The decompression of the medulla and spinal cord was performed by using the neuronavigation system.

Furthermore, pulsatility of the dura during surgery confirmed the achievement of sufficient decompression.

After the procedure, the reverse U mucosal nasopharingeal flap harvested at the beginning of surgery was reapproximated and fixed with fibrin glue. A Foley catheter was held in place for 2 days to compress the mucosal flap. No tracheostomy was needed, and the patient received food orally the day after surgery

Immediately after surgery, a dynamic X-ray scan was performed: The anterior atlas-dens interval (AADI), posterior atlas-dens interval (PADI), and C1–C2 total lateral overhang were measured as morphological criteria to determine the upper cervical spine



Figure 2: Preoperative dynamic cervical spine X-ray showing no instability of the craniovertebral junction ((a) static, (b) hyperextension, (c) hyperflexion)

Figure 3: Intraoperative endoscopic views: (a) CI anterior arch upper portion drilling; the arrow points out the residual lateral part of the arch (b) final endoscopic view after the odontoidectomy is completed showing the spinal cord dura just behind the drilled portion of the dens (c) the white arrow indicates the dura

stability.^[12,35,37] AADI and PADI remained stable after surgery, and the total C1–C2 overhang was no more than 7 mm, thus demonstrating no difference in CVJ motility compared with the preoperative radiographic pictures [Figure 4]. Therefore, we did not proceed to posterior cervical stabilization, postponing the eventual decision in light of the clinical status in the follow-up.

The postoperative course was uneventful, and the patient was discharged showing a significant improvement in paresthesia and the disappearance of nystagmus.

Postoperative dynamic CT and MRI confirmed complete CVJ decompression, and the patient underwent a nasal endoscopic follow-up 1 month after surgery and another one 6 months after surgery [Figure 5].

After an 18-month follow-up, the patient reported neither other vertiginous episodes, nor neck pain. Clinical examination showed normoreflexia in lower limbs, the absence of paresthesia of the four limbs, normal motor functions, bilateral negative cutaneous plantar reflex, and mild downbeat nystagmus.

At the 18-month re-evaluation, a new dynamic X-ray scan showed no difference in CVJ motility in comparison with the preoperative and immediately postoperative ones [Figure 6].

CONCLUSIONS

Anterior approaches to the CVJ have historically been open surgical procedures: The transoral-transpharyngeal approach has been the most used with or without the addition of extensive variations. Even though many recent technical improvements have been achieved, the transoral approach still presents some drawbacks. These include extended Flex



Figure 4: Dynamic X-ray with anterior atlas-dens interval and posterior atlas-dens interval performed before discharge showed no instability of the craniovertebral junction

postoperative intubation or tracheostomy, the need for nasogastric tube feeding, the risk of negative effects on phonation, potential contamination of the oropharyngeal wound, and consequent infective sequelae.

These include postoperative pulmonary complications, which were found to be 15.7% by Marda *et al.* in 2013. Factors significantly associated with postoperative pulmonary complications consisted in a higher than II American Society of Anesthesiologists grade, preoperative lower cranial nerves palsy and respiratory involvement, length of surgery and intraoperative blood transfusion. In multivariate analysis, blood transfusion was found to be the sole contributing factor. The patients with postoperative pulmonary complications had significantly prolonged their stay in Intensive Care Unit and hospital.^[24]

Tracheostomy may allow the prevention of postoperative respiratory complications and safeguard against airway obstruction from postoperative lingual edema. In 2007, Landeiro *et al.* reported no cases of preoperative tracheostomy; however, postoperative tracheostomy was required in 10 out of 38 cases due to the involvement of the lower cranial nerves and when a prolonged intubation was required in the postoperative period.

Moreover, they reported 3 cases of soft palate dehiscence, which required resuture and long-term enteral nutrition, 3 patients with pulmonary infection, 1 cerebrospinal fluid (CSF) leakage, and 1 death in the immediate postoperative period because of pulmonary embolism.^[56]

The CSF leaks encountered when performing a transoral odontoidectomy potentially have devastating consequences, such as meningitis and related death.^[21]

An incision of the soft palate or osteotomy of the hard palate has a high probability of complications such as dysphagia, nasal regurgitation and regurgitation with speech, and swallowing due to velopharyngeal insufficiency.^[28]

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Figure 5: Postoperative dynamic computed tomography (b,c) and magnetic resonance (a) imaging demonstrated the achievement of a good spinal cord decompression

In 2005, Kassam et al. first described an endoscopic endonasal approach for the resection of the odontoid tip and rheumatoid pannus in a 73-year-old woman with a posterior fixation. They have demonstrated the effectiveness of this anatomical pathway and the lower morbidity compared to the traditional transoral approach. Thereafter, other authors have successfully tested this approach.^[1,27] Before surgery, we have considered the socalled nasopalatine line (the K-line) that extends from the tip of the nasal cartilage to the upper cervical spine, with a fulcrum on the hard palate. This line, which always has to be evaluated in the preoperative planning,^[23] represents the caudal limit of craniocervical lesions that can be reached via endonasal endoscopic approach. Lesions above this line are accessible via this route. In 2007, Wolinsky et al. reported three odontoidectomy performed by an endoscopic transcervical approach: The significant attainment was the preservation of the pharyngeal mucosa with a fair decrease in contamination risk by bacterial flora. Nevertheless, the feasibility of this approach has been criticized because of the long distance to the target, its narrow and oblique working angle, the difficulty in reaching the contralateral side and performing it in highly kyphotic cervical spines.^[10,26,29,38] In 2009, Leng et al. described an endonasal endoscopic resection of an odontoid tip to decompress the cervicomedullary junction; in this case, a posterior occipital-cervical fixation was performed before the odontoidectomy since it had been planned to completely remove the anterior arch of C1 that results in biomechanical instability of the occipital-cervical junction.[22]

Dickman *et al.* studied and reported the biomechanical consequences of the traditional transoral odontoidectomy. The authors found significant increases in flexion, extension, and lateral bending after odontoidectomy. Flexion increased by 70.8%, extension by 104%, and lateral

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Figure 6: Static (a) and dynamic (b,c) X-ray 18 months after discharge confirming that there was no instability of the craniovertebral junction

bending by 95%. The transoral odontoidectomy produces distinct alterations in motion and in force-deformation responses at C1-C2. The surgery results in prominent anteroposterior translation; increases angular range of motion during flexion, extension, and lateral bending. These biomechanical alterations are not surprising because transoral odontoidectomy profoundly alters the anatomy of the CVJ.^[25,31,32] During surgery, the primary stabilizing components are detached or removed, including the dens, the alar ligaments, and the transverse atlantal ligament. A great contribution to decrease the postoperative risk of spinal instability is the preservation of the anterior Cl arch.^[20] This could prevent the Cl lateral masses displacement caused by vertical loading forces.[15,29,36] Thus, one of the most critical issue for the stability of the CVJ is the integrity of the Cl ring. Transection of the anterior C1 arch could bring to lateral masses displacement and subsequent cranial settling^[11,14,16,36] with possible increased risk of neurological sequelae. Therefore, whenever feasible, only a partial resection of the Cl anterior arch is recommended during an odontoidectomy. We considered safe to preserve the half-lower medial part of the arch to keep a solid continuity of the ring and to obtain a better access to the odontoid tip. If it is not possible to achieve an adequate decompression without transection of the Cl anterior arch, a posterior fixation may be required. Moreover, capsular ligaments, paraspinal muscle, tectorial membrane, anterior longitudinal ligament, and ligamentum flavum play the important role of second stabilizers, whose action can be negatively affected by the loss of integrity of the C1 ring.

Iacoangeli moved another step forward for the transnasal endoscopic approach describing 2 cases in 2012 and 2013. They reported that the preservation of the anterior arch of C1 could prevent instability of the occipital-cervical junction and avoid posterior settling. Every effort was

made to preserve the anterior arch of the atlas or, if needed, to drill only its anterior-inferior portion around the midline, but still maintaining the continuity of the Cl ring.

Furthermore, reducing the classical two parts (anterior and posterior) of surgical procedures to only the anterior one would result in avoiding the toughest part of the procedures and having fewer morbidities for the patient, who would benefit from a faster postoperative recovery. Thus, preserving the rotational movement at C0–C2 segment and reducing the risk of a subaxial instability development.

In our case, the approach was performed using a 3D endoscope (Visionsense II Ltd., Petach Tikva, Israel) to preserve the integrity of the Cl anterior arch. The relevant importance of 3D view depends on the greater depth of field provided compared to that of a two-dimensional (2D) endoscope. As already demonstrated for laparoscopic surgery, the effectiveness of transnasal endoscopic surgical procedures has increased considerably with the introduction of 3D vision. Working in a 3D environment allows to be more selective, as well as during the nasal approach preserving the olfactory mucosa in order to reduce the rate of postoperative anosmia. In 2012, the group of Castelnuovo et al. described a case of transnasal resection of anterior skull base malignancy with a 3D endoscope. They reported that surgeons were able to recognize and manage anatomical structures, and to control bleeding easily thanks to the bimanual technique and 3D visualization.^[5]

Probably the most fascinating potential of 3D vision is the ability to control the anatomical structures that are present but not usually and easily visible. This is of incalculable value for the preservation of the C1 anterior arch because of the presence of critical structures that are exposed to potential damage, if not properly displayed.

Moreover, recognizing the precise relation between ligaments and bony structures provides an excellent level of safety during the procedure. Therefore, 3D techniques certainly represent a great breakthrough in the endoscopic surgery of the anterior skull base. The addition of depth perception allows to overcome the limitations of 2D, making the new 3D endoscopic system the ideal tool for a wide range of procedures.

Finally, the accuracy in the visualization of the anatomical structures and their relationships make 3D endoscope a versatile tool that needs a shorter learning curve if compared with the traditional 2D endoscope.

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Conflicts of interest

There are no conflicts of interest.

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